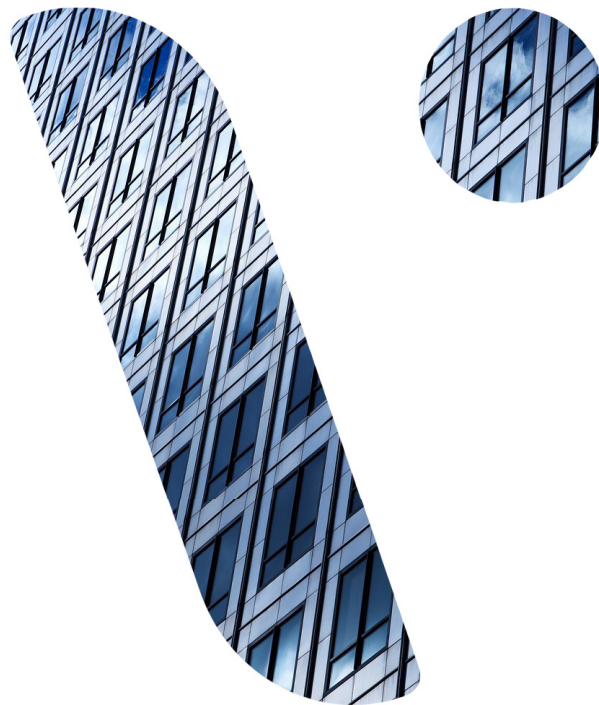


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APPLICATION FORM

Individual/Direct Investors



Application Form - Important Notice

This document represents one part of the Contract to apply for, and hold, a **Veri**-Platform account. The other key documents which form part of this Contract are the **Fee Schedule** and the **Terms and Conditions**. The latest versions of these documents are available on the client portal website: www.veri-platform.com



SECTION 1: YOUR PERSONAL DETAILS

PART ONE: YOUR DETAILS

Title:	Forename(s):	Surname:
Previous Names:		Date of Birth:
Gender:	Marital Status:	Email:
Mobile/Contact Number:		
Permanent Residential Address:		Country:
Correspondence Address (if different):		Country:

PART TWO: YOUR TAX, RESIDENCY AND STATUS

Passport/National ID No.:	Passport/ID Expiration date:	Nationality:
Additional Nationalities (if applicable):		
Normal Country of Residence (where you normally live):	Place of Birth:	

US Tax Status:

☒ Current US Citizen/National/Resident (if yes)	Tax Reference No.:
☒ Former (but renounced) US Citizen/National/Resident	
☒ Not a US citizen or resident for tax purposes	

General Tax Information:

Country of Residence for Tax Purposes (excl. US):	Tax Identification No.:
Additional Tax Residences and Numbers: Country / Number:	Country / Number:

Politically Exposed Person

Are you considered to be, or are you closely connected to someone who is considered to be, a Politically Exposed Person (definition in Terms and Conditions)

YES ☒ NO ☒

Money Laundering and Terrorist Financing

Have you ever been involved, prosecuted or convicted, currently or historically, directly or indirectly, either individually or together with any other person(s), in any predicate offence, money laundering or terrorist activities.

YES ☒ NO ☒

PART THREE: DOCUMENTS REQUIRED

Please provide certified copies of the following documents, which we will use to confirm your identity and also to perform a range of legal and regulatory checks, as required to be able to establish and hold an account with us:

- **Proof of Identity** - examples: Passport, National Identity, Driving Licence (photo identification)
- **Proof of Address** - examples: Utility Bill or Bank Statement (no older than 3 months old)

PART FOUR: JOINT ACCOUNT

If you wish for this to be a joint account, please indicate how many joint applicants are to be attached to this account (Maximum 3 joint account holders)

Number Required:

If you are opening an account with joint account holders, please confirm what signatory or signature instructions will be required:

☒ Any single signature
☒ All parties to sign
☒ Other

DOCUMENTS REQUIRED

Please complete and sign a **Joint Account Holder (Application Supplement)** in respect of each joint applicant along with the additional documents required on that form, and include the completed form(s) along with this application.



SECTION 2: CHARGING STRUCTURE OPTIONS WITH VERI-PLATFORM

PART ONE: PRODUCT CHOICE

With your Veri-Platform account, you can choose between two charging structures:

- **Funded Charges** – The full amount is invested from day one, and the fees are repaid over a fixed term of either 5 years or 10 years.
- **Upfront Charges** – Fees and charges are deducted from your account at the outset, and the remaining net amount is available for investment.

Please confirm which charging structure you would like to apply to your account, and if choosing Funded Charges, indicate your preferred repayment term.



Veri-Platform Funded

If you have chosen the **Veri-Platform Funded** charging structure:

Funding will be applied to your inflows on receipt to cover the initial charges, including adviser initial charges, and will be repaid over a set period of time. Please refer to **Part Twelve: Financing** in the **Terms and Conditions** for further information.

Please confirm the charging period below:



5 years



10 years



Veri-Platform Standard

If you have chosen the **Veri-Platform Standard** charging structure:

In this product, initial Adviser Fees are deducted in full from the inflow.



SECTION 3: FUNDING YOUR ACCOUNT

PART ONE: SOURCE OF FUNDS

How will you be funding your account (choose either one or both)?

Transferring Cash from a Bank Account



How much are you going to send?

Amount:	Currency:	Expected Date:
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If you are expecting to send a few different amounts over a short period of time (under 6 months), please give details in the following boxes for our records. If this information is not provided, or further amounts are expected after 6 months, we will require a top up form.

Amount:	Currency:	Expected Date:
Amount:	Currency:	Expected Date:

Are you planning to top-up your account on a regular basis?

YES



NO



Amount:	Currency:	Regularity:
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Please give details of the bank account:

Account Holder Name:		
Bank Name:	BIC/SWIFT Code:	Account Number / IBAN:
Branch and Jurisdiction:		
Time Account Held:	Clearing Code (if applicable):	Sort Code (if applicable):

PART ONE: SOURCE OF FUNDS - Continued

If you have held this account for less than one year, we will require details of your previous account/alternative account.

Bank Name:	Branch:	Account Number / IBAN:
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DOCUMENTS REQUIRED

Certified copies of a bank statement (or other bank document) which shows the account details and the name of the account holder.

AND / OR

Transferring Assets from another Provider ☒

Please provide the details below

Latest Value:	Currency:	Current Provider:
Account Reference:		

DOCUMENTS REQUIRED

- Latest valuation from current provider
- Veri-Platform In-Specie Transfer Request (Application Supplement) (available at www.veri-platform.com)

PART TWO: YOUR BANK DETAILS

We require your bank details, which will be logged as the place to which all transfers of cash out of the account will be made.

If the details are the same as completed in Section 3, Part 1, please tick this box: ☒

OTHERWISE Please provide the details of the Bank Account:

Account Holder Name:		
Bank Name:	Account Number / IBAN:	BIC / SWIFT Code:
Branch and Jurisdiction:		
Sort Code (if applicable):	Clearing Code (if applicable):	

For remittances, please confirm correspondent bank details if known/applicable:

Bank Name:	Branch and Jurisdiction:	BIC / SWIFT Code:
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NOTES

- You can only transfer from an account in the entity's name.
- It helps, once the entity has made the transfer, to provide a copy of the SWIFT Confirmation (also called an MT103) or other bank transaction confirmation, to ensure efficient tracing of the payment
- **DO NOT SEND ANY MONEY TO YOUR VERI ACCOUNT UNTIL THE ACCOUNT IS CONFIRMED AS OPEN AND YOU HAVE RECEIVED YOUR WELCOME PACK**

SECTION 4: YOUR FINANCIAL BACKGROUND

We are legally required to understand how you came about the wealth that enables you to make an investment through us, including ensuring your wealth has been generated from legitimate sources. For this, we will require some information about you, and we will require evidence to back this up.

Your financial adviser will be able to help you with gathering this information.

PART ONE: EXPLAIN THE SOURCE OF YOUR WEALTH

Please complete the following with as much detail as possible and provide the relevant evidence so that we can build a file to demonstrate the source of wealth.

DESCRIPTION OF SOURCE OF WEALTH	INFORMATION REQUIRED	SUPPORTING DOCUMENTS TO BE PROVIDED
☐ Employment income (basic and/or bonus). If you own the company, where you are employed, please provide the information and evidence detailed in Business Profits, below, as well.	Employer's name: Employer's address: Salary per annum: Position title:	☐ Original or certified pay slip or ☐ Letter from employer or ☐ 3 Month Bank Statement Showing Salary Credits NOTE: A bank statement showing balance in excess of investment amount may be required.
☐ Maturity or surrender of life policy	Amount received: Currency: Date of surrender: Policy provider: Policy number/reference:	☐ Letter from insurer confirming surrender or ☐ Copy closing statement
☐ Sale of investments/ liquidation of investment portfolio	Sale amount: Currency: Date of sale: Type of investment: Period of time investment held:	☐ Surrender statement or ☐ Bank brokerage statement clearly showing sale and receipt of funds & investment on company letterhead or ☐ Signed letter detailing funds received from a certified accountant
☐ Sale of property	Property address: Total sale amount: Currency: Date of sale:	☐ Signed letter from notary or ☐ Certified copy of sale contract or ☐ Signed letter from real estate agent
☐ Inheritance	Name of deceased: Relationship to client: Total amount received: Date of death: Currency: Date received:	☐ Signed letter from notary or ☐ A certified copy of the will
☐ Business profits	Name and address of company: Nature of company: Amount of annual profit:	☐ Copy of latest audited financial statement
☐ Gift	Relationship with client: Reason for gift: Identification documents of donor: Total amount: Currency: Date received:	☐ Donor's source of wealth or ☐ Letter from donor confirming details of the gift
☐ Others (specify)	Nature of income: Total amount: Currency: Date received:	☐ Supporting documents



SECTION 8: FINANCIAL ADVISER

PART ONE: FINANCIAL ADVISER DETAILS

Adviser Name:	Adviser Company:	Agency Reference:
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PART TWO: AUTHORITY FOR YOUR FINANCIAL ADVISER

Do you wish to grant your financial adviser discretionary authority to instruct on your account? This means that we will accept instructions from your financial adviser without requiring your signature. Full details on this authority are in the Declarations section in this application form.

YES ☒ NO ☒ (If this section is not complete, it will default to **NO**)

PART THREE: FINANCIAL ADVISER AND CHARGES

INITIAL FEES

This fee will be charged on all amounts you put into your account and paid to your financial adviser.

Amount

SERVICING/ONGOING FEES

This fee will be charged on the value you put into your account and paid quarterly in arrears to your financial adviser.

Amount

PART FOUR: ADVISER APPOINTMENT AND DECLARATION

Financial Adviser Declaration

I confirm that I have been appointed to act on behalf of the applicant(s) of this application in line with the Terms and Conditions of the product and prevailing Terms of Business with the relevant entities within Veri-Group, and will act accordingly within the parameters within these documents. All adviser charges, both in respect of Veri-Platform, and otherwise, have been disclosed and agreed and meet regulatory requirements. I accept that, at no point, initially or ongoing, does any entity within Veri-Group provide any financial advice and therein does not accept any liability for any of the advice which I provide.

Adviser Name:
Adviser Signature:
Date:

Account Holder Declaration

I appoint this financial adviser to act in accordance with the authorisation levels set out within this section of the application form and agree to the adviser charges detailed herein.

Investor Name:
Investor Signature:
Date:



SECTION 9: ANY OTHER INFORMATION REQUIRED

Please detail any other information we need to be aware of in the space below.

SECTION 10: DECLARATIONS

The Veri-Platform Account, and the investment instruments accessible through it are complex financial products and you should seek appropriate professional financial and other relevant advice before you proceed to open the Account. The structure of the Veri-Platform is designed to be opened and managed with such an appropriate financial adviser and/or other relevant regulated professional. It is your responsibility to find and validate that professional.

You should not open an Account and/or select investment instruments until you understand them fully and their suitability has been explained to you. Veri-Group do not provide any financial advice and do not assess the suitability of any decisions you make or instructions you provide, including the decision on whether to open an Account, and in turn, by default, accept all of the terms therein.

PART ONE: DECLARATIONS & SIGNATURES

This Application Form is to be used to apply to open a Veri-Platform Account, a product of Gravitas Finance LLC.

Provider and Brand

Veri-Platform, Veri-Group and Veri-Global are brands and branded products provided by and through Gravitas Finance LLC. Gravitas Finance LLC (Gravitas) is authorised and regulated in Mauritius by the Financial Services Commission, under the laws of Mauritius, with the business address of Socota Phoenixia, Sayed Hossen Road, Solferino, Phoenix, Mauritius. Collectively through this document and other relevant documents, Veri-Group refers to Gravitas Finance LLC and other parties which Gravitas Finance LLC work with in the provision of the Veri-Platform.

Key Documents

This Application Form represents one part of the key documents which make up the contract of your relationship with Veri-Group. The other key documents which form part of this contract are the Fee Schedule and the Terms and Conditions. Collectively, the "Contract". These documents may be updated from time to time and the latest versions will be available on our website and on request.

Additional to the Key Documents of Veri-Group, you may have contracts, agreements, terms and key documents in place with a wide range of other service providers in conjunction with the access and use of this product, including but not limited to, agreements with your financial adviser and documents relating to any chosen investment instruments. It is your responsibility to read, review and accept such documents prior to providing any instructions. At no point does any part of Veri-Group take any liability for any third party services, other than where clearly identified in writing within the Contract.

Eligibility to Apply and Hold an Account

Veri-Platform is open to any person who meets the eligibility criteria, and has been advised and introduced by an appropriately regulated financial adviser who holds current and active Terms of Business with Veri-Group.

To be eligible to open and hold a Veri-Platform Account, the main applicant, and all joint applicants where applicable, or in the case of a corporate entity or trust, the owners, directors and/or ultimate beneficial owners:

- Are over the age of 18 years at the point of application
- Are not currently prohibited from opening a financial Account due to relevant Government Sanctions
- Have not been involved nor are currently involved, directly or indirectly, in any money laundering activities

Veri-Group reserve the right to refuse any application for an Account, either at the stage of application, or subsequently, including after the establishment of the Account, and are under no obligation to share any reasoning for such a decision.

Application to the Veri-Platform

In order to become an Account holder, it is required that an application form is provided along with any evidence that is requested to support the application, i.e. source of wealth, source of funds and any other requirements which may be put in place initially or subsequently.

It is a requirement that you complete all relevant sections of this application in full and provide the relevant evidence as required/requested to be able to be considered for an Account. If you do not complete the application form in full and provide the relevant evidence as required/requested, for whatever reason, this may delay your application, or in certain circumstances your application form being declined.

If you fail to provide missing details and/or supporting evidence within a reasonable time frame, the time frame of which is entirely at the behest and discretion of Veri-Group, your application may be cancelled and you may be required to resubmit an entirely fresh application and new evidence, or in the least, be required to provide updated information and/or written and executed declarations of continued validity of information and/or documentation previously received.

We reserve the right to refuse to proceed with any application until we have received all of the information we require to be able to accept the application, as defined at the discretion of Veri-Group.

Veri-Group will at no time be liable for any losses, missed opportunities or any other liability in respect of acting in accordance with ensuring that the application has been completed to a state which Veri-Group deems to be satisfactory to be able to accept the application, and in turn, writes to confirm that an application is complete.

An application has not been accepted until such time as written confirmation that the application has been issued in writing by Veri-Group directly and formally. Veri-Group reserve the right to withdraw acceptance of an application, even where such acceptance has been issued directly and formally, without the requirement to give any reason and accepts no responsibility for any liability whatsoever should it choose to decline or withdraw acceptance of any application for an Account.

In certain circumstances we may need additional information from you or other third parties in order to validate your identity or other information relevant in order to proceed with your application. This may result in a delay of the application and any ongoing instructions. We will not accept any liability for the impact of such delays. In applying for this Account you are in turn authorising us to contact such parties.

You are legally responsible to ensure the accuracy of all information provided as part of your application and all information subsequently provided, both directly, and through authorised third parties. You must complete all information accurately, to the best of your knowledge, and provide any information requested in a timely manner. If you have made any errors, omissions or your information requires updating, you must notify us as soon as practicable to ensure that we hold the most up to date and complete records.

If it is discovered that any fraudulent or intentionally inaccurate information has been provided, your Account may be rendered invalid, and we may be required to take action to have the Account gated or rendered inaccessible. Pending investigation outcomes, or where relevant, a ruling or advice from third parties, we may be required to take action on the Account, including but not limited to, returning the Account to a liquid state. In any such circumstances, you will become liable for any and all costs incurred, legal or otherwise, including our own costs. We will not be liable for any costs, losses, penalties, taxes or any other such disadvantages you may suffer as a result of these actions, and you will be liable to cover any costs or penalties which may be imposed on us as a result of this potentially fraudulent activity.

Where information is incomplete, you or your appointed representatives, may be able to provide this information electronically or in writing as a supplement and outside of the usually prescribed forms and documents. Veri-Group can accept or reject this at their absolute discretion, and where it is accepted, you are authorising this information to be received in this manner without liability.

Veri-Group may refuse your application, or to act on instructions, at its absolute discretion, and may choose not to provide a reason for doing so. Your Account may become invalid or Veri-Group may refuse your application for, but not limited to, the following reasons:

- Providing incomplete or false information
- Your failure to observe the Terms
- A disagreement or dispute with us
- As a result of a regulatory or legal requirement
- The cessation, temporary or permanent, of Terms with your financial adviser
- You, or a connected party, take, or it is believed you have taken, any action, which could be deemed immoral, illegal or contrary to the rules and regulations of Mauritius or a country connected to you, your service providers, or where a requirement to break the rules of the provision of this product or any product within it, is requested, required or imposed

In any circumstances where an Account has already been funded in any way, there may be a requirement to return the fund to source, remit onwards or gate funds. This may be after any charges and costs have been imposed. Veri-Group will not accept any liability for losses, charges, penalties or otherwise should this occur and reserve the right to make a statement to the relevant legal or regulatory parties should it feel obliged to do so.

SECTION 10: DECLARATIONS (CONTINUED)

It is your responsibility to ensure that the information held is current and up to date. Intentionally not providing up to date information where circumstances have changed, including, but not limited to, change of addresses, contact information, country or region of residency and tax circumstances, could have serious impacts on you and/or your Account, including the ability for notice to be given and access to your Account. Veri-Group will not be liable for the outcomes of such actions, or lack thereof, in any way. You must ensure that your records are kept up to date, including your full postal address, and latest email address, to which notices can be provided and communications forwarded.

Veri-Group expect all applications to be made through a currently appointed financial adviser who has been approved and continues to hold the relevant permissions to introduce you to the product. If an application is being made without a financial adviser for any reason, prior approval must be gained with Veri-Group before submitting the application.

Veri-Platform Funded Fees and Charges

For any applicant or account holder who has elected the Veri-Platform funded charging structure, this section serves as formal authorisation for Veri-Group to use their account as collateral to secure the funding required to facilitate fee payments both initially and at any time in the future as directed by the Account Holder, their authorised representatives, or at the discretion of Veri-Group.

The Account Holder acknowledges and agrees to repay the funding, including any associated funding costs, in accordance with **Part Twelve: Financing** of the Veri-Platform Terms and Conditions. **Funding costs** include, but are not limited to, interest charges on the funded amount. The interest charged is based on prevailing commercial rates and can be provided upon request.

Veri-Group reserves the right to apply the funded charges to account inflows, using such inflows to settle outstanding fees and charges at its sole discretion. It remains the responsibility of the Account Holder to ensure that all funding obligations, including interest or associated costs, are repaid in full.

Withdrawals and account closures may be restricted at the sole discretion of Veri-Group until all outstanding funding, charges, and associated costs have been fully settled.

The funded charging option is a feature of the Veri-Platform and may be modified, suspended, or withdrawn at any time without prior notice. Veri-Group also reserves the right to demand full repayment of any outstanding funded amounts, including any associated funding costs without notice.

Financial Adviser Appointment and Authority

During the application process and subsequently, you can appoint a financial adviser to advise you and receive information, and also to act on your behalf. Any financial adviser or other relevant professional adviser must hold current Terms of Business with Veri-Group to be able to access your Account in any way.

It is your responsibility to review and verify the permissions, entitlements and qualifications of your financial adviser and their firm. Veri-Group do not accept any liability for ensuring this on your behalf.

In line with the signature and authorisation, you agree to the charges as set out in the Financial Adviser section of this application form, as may be updated from time to time in writing, to be paid. You understand that other charges and remuneration agreements may be applicable to or for your financial adviser and that these are matters to be discussed and disclosed between yourselves, and Veri-Group do not accept any liability in respect of such arrangements.

Veri-Platform is an execution only investment administration platform. This means that, as a product provider, we act on your instructions, or on those of persons you have approved to act on your behalf, which are treated as your instructions, subject to our own rules for the use of our product(s), but that the responsibility is entirely yours to ensure you have sought appropriate advice, information and clarification prior to, and continually, in relation to any decisions. We take no liability for your decisions or for acting on any instructions received from you, or on your behalf.

Adviser Authorisation – Discretionary Authority

In Section 8, Part Two of the application form, you are asked to confirm if you wish to provide discretionary authority for your financial adviser. Selecting NO in this section confirms that your adviser has been appointed on an Advisory Basis Only, which means that investment instructions will require both investor signatures and financial adviser signatures to be accepted. Selecting YES in this section confirms that you wish to Delegate Investment Management authorisation, meaning that the investor signature is not required on investment instructions. In all circumstances, Veri-Group accept no liability for acting on any instruction, howsoever provided.

PART TWO: INSTRUCTION TO PROCEED

Establishing Your Account: You, as the Account holder, and all joint Account holder(s), confirm that you have read and understood these declarations, the Terms and Conditions and the Fee Schedule and you agree to be bound by these documents, as they may change from time to time, and that you wish to apply to establish an Account with Veri-Group. Furthermore, where relevant, you also appoint the financial adviser stated in this application, as may be updated and replaced from time to time, to receive information and act in accordance with the authorisations provided.

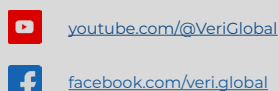
Investor Declaration: I confirm that I have read and agree to these Declarations in full.

**DO NOT SIGN THIS DOCUMENT UNTIL ALL PARTS HAVE BEEN COMPLETED.
PLEASE INITIAL ANY ALTERATIONS AND CORRECTIONS.**

Investor Name:
Signature:
Date:



You Can Also Find Us On



How to Contact Us

Veri-Platform
Gravitas Finance LLC
Socota Phoenicia
Sayed Hossen Road
Solferino, Phoenix
Mauritius
support@veri-global.com
www.veri-global.com

Veri Online

You can access certain information on your platform account online, through our website:
www.veri-platform.com

Veri-Platform, Veri-Group and Veri-Global are brands and branded products provided by and through Gravitas Finance LLC a limited liability corporation established and operated in Mauritius, authorised and regulated in Mauritius by the Financial Services Commission.